

Pendal PRI 2019 Assessment Report

Summary scorecard

August 2019



As a signatory to the UN supported Principles for Responsible Investment (PRI), Pendal Australia participates in the PRI's annual assessment process in which we report on our "activities and progress towards implementing the Principles". Signatories are scored for each of the 6 PRI principles and the scores are measured against those of other investment managers that have signed the PRI. The results help us identify areas of strength as well as potential areas of focus in the evolution of our overall approach to responsible investing. In 2018, approximately 2,000 institutional investors worldwide were signatories of the PRI.

The following is an extract from Pendal Australia's 2019 PRI Assessment Report which is generated by the PRI in accordance with the PRI Assessment Methodology. The public 2019 Transparency Report for Pendal Australia is available on the PRI website.

PRI Summary Scorecard 2019

Pendal score Median score

| Module name | Pendal Score | E | D | С | В | Α | A+ |
|--|-----------------|---|---|---|---|---|----------|
| 01. Strategy & Governance | A+ | - | | | | - | - |
| Indirect - manager selection, appointment & monitoring (<10% AUM) 02. Listed Equity | В | | | | - | - | |
| Direct & active ownership modules | | | | | | | |
| 10. Listed Equity - incorporation | A+ | _ | | | - | | |
| 11. Listed Equity - active ownership | A + | _ | | | - | | \dashv |
| 12. Fixed Income - SSA | Α | _ | | | - | + | |
| 13. Fixed Income - corporate financial | Α | _ | | | - | + | |
| 14. Fixed Income - corporate non-financial | Α | _ | | | - | + | |

For more information call us on 1800 813 886 or visit **pendalgroup.com**



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